

Kaplan Financial - Safeguarding and Prevent Policy

Owner: Business Improvement Director

Issued: May 2022 Version: 4.5

Key Contacts

Concerns and general guidance

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Safeguarding

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Safeguarding

Michael Kemp - Designated Safeguarding Officer

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Prevent

Sarah Powell – Prevent Lead

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Emergencies and out of business hours contacts

If there is an immediate risk of harm or other emergency you should call 999 and speak to the emergency

services.

For non-emergency calls you can contact the Police on 101 or for medical concerns the NHS on 111.

Anti-terrorist hotline: 0800 789 321 – for concerns about possible terrorist activity

Samaritans: 116123 - jo@samaritans.org for support with many forms of emotional distress

Mind: 020 8519 2122 - info@mind.org.uk - Advice and support around metal health

Or text 'shout' to 85258 a free, confidential, anonymous text support service.

NSPCC Helpline: 0808 800 5000 – help@nspcc.org.uk advice for anyone who has concerns about the

welfare of a child or young person

Report online material promoting terrorism or extremism

Report illegal or harmful information, pictures or videos you've found on the internet. You can make your

report anonymously. https://www.gov.uk/report-terrorism

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1. Safeguarding Statement

Kaplan Financial UK (Kaplan) is committed to safeguarding all apprentices and students that undertake learning with us. We believe that all have an equal right to protection from abuse, regardless of their age, race, religion, ability, gender, language, background or sexual identity and consider the welfare of the young person or vulnerable adult as paramount.

Kaplan will take every reasonable step to ensure that all apprentices and students are kept safe and secure so that they can learn and thrive. All suspicions and allegations of abuse will be taken seriously and responded to swiftly and appropriately as per our policy and internal procedures.

Kaplan recognises that safeguarding against radicalisation and extremism is no different to safeguarding against other vulnerability issues that individuals may face. This policy also incorporates protecting individuals from being radicalised or exposed to extremist views and a process for escalation where radicalisation is suspected or has been identified. Kaplan's application of the Prevent Duty recognises that this applies to all individuals, including staff members.

We enable all our staff and those who work with us to make informed and confident decisions regarding safeguarding. We expect all delivery and management staff, including board members, directors and partners and subcontractors, to have read, understood and to adhere to this policy and related procedures.

We will provide adequate and up to date training to all apprentice and student facing staff to ensure that they are able to identify the signs of abuse and know how to deal with their own suspicions, reports of concerns from others and reports of abuse from people directly affected. Records of Safeguarding and Prevent incidents will be periodically reviewed in the interests of recognising trends, regional variations and priorities, and to inform the need for further staff training.

We fully recognise the importance of academic freedom and the right to preserve freedom of speech for all our employees, learners and visiting speakers however we will balance this with our obligations towards the Prevent Duty.

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2. Safeguarding Definitions

Safeguarding

The term 'Safeguarding' describes the broader preventative and precautionary approach to planning and

procedures that are necessary to be in place to protect children, young people and vulnerable adults from

any potential harm.

Safeguarding is more than having background check policies and procedures in place. It means having a

culture of vigilance where all staff know their responsibilities and act accordingly and all learners are aware

of what they can expect and what to do if they have concerns. It is about providing a deep commitment to

place the learner at the centre of our concerns and to build policies, practices and procedures around the

learner for them to succeed.

Child

Anyone under the age of 18 is defined as a child.

Vulnerable Adult

A person who is aged 18 years of age or over is defined as vulnerable if they are at risk of harm, abuse or

manipulation (including radicalisation) as a result of either their social or personal situation.

Harm

Harm can be caused in a number of ways and take different forms, including:

• Sexual – for example, trying to get someone to take part in sexual activity by using force, threats or

bullying. Sexual activity requires both consent to be given and also an understanding of what is

being consented to. 'Grooming' occurs when a person uses an emotional bond to gain the trust of

a child or vulnerable adult in order to sexually abuse or exploit them, or to trade them (trafficking)

Sexual harassment - unwanted behaviour of a sexual nature which: violates a person's dignity,

makes them feel intimidated, degraded or humiliated

Radicalisation - the process by which a person comes to support terrorism and extremist ideologies

associated with terrorist groups

Neglect – for example, not caring for yourself or someone you are responsible for. This could

include not washing regularly, not eating, or having unsafe living conditions

Physical – for example, hitting another person or deliberately cutting yourself

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 Psychological – for example, saying things which could hurt someone else or which could make them feel vulnerable, alone or isolated. Making threats, trying to control another person, or humiliating someone can be psychological harm. Psychological harm can include bullying in person or online, including 'revenge porn'.

Financial - for example, unreasonable or excessive borrowing or stealing money or other items.
 Also, trying to pressure someone else into giving you money or other items because they feel sorry for you.

3. Safeguarding apprentices, students and staff

Kaplan will:

- Maintain thorough knowledge of safeguarding matters
- Ensure this policy and accompanying procedures and guidance are regularly reviewed for compliance with relevant legislation
- Provide points of contact for anyone needing to report a safeguarding concern
- Act on reported concerns as appropriate. This may include making a referral to an external agency
- Monitor the effectiveness and implementation of this policy
- Promote a culture which promotes safeguarding, reducing the potential for harm to be caused or threatened
- Collate summaries of safeguarding concerns raised and outcomes, where known
- Introduce apprentices and students to their rights and responsibilities with regard to Safeguarding and Prevent through their induction
- All apprentice and student-facing staff, including those at partners and subcontractors, undertake suitable training commensurate with their role in the organisation
- All apprentice and student facing staff will receive appropriate training as part of their induction
- The Safeguarding Policy will be reviewed on an annual basis to ensure it covers any changes in legislation and remains suitable for the needs of the organisation
- The Designated Safeguarding Officer will meet with key Safeguarding staff on a regular basis to discuss Safeguarding and Prevent issues and processes, identify and address themes and standardise practice
- The effectiveness of the Safeguarding and Prevent policy in terms of learner awareness and understanding will be monitored through discussions, surveys, observations and review of Safeguarding records.

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4. Safeguarding Roles and Responsibilities

The following roles ensure that Kaplan meets its responsibilities effectively.

The External Non-Executive Assurance Board

Has the responsibility to review, challenge and advise on Kaplan's safeguarding policy and procedures.

Executive Leadership Team and Professional Senior Leadership Team

Are responsible for ensuring that all necessary staff have an understanding of safeguarding and have

received appropriate training and development and that the staff recruitment and selection procedure is

followed at all times. They will ensure that safeguarding is given a high priority across the business.

Human Resources

Is responsible for ensuring that safe recruitment practice is embedded and implemented across Kaplan and

that the supporting records of any background checks are accurately maintained.

Health and Safety and Student Experience

Are responsible for ensuring that all training rooms, communal areas, facilities and equipment comply with

legislative health and safety standards. They will ensure that access and egress to Kaplan centres is

appropriately controlled to support the security and safety of all apprentices, students, staff, visitors and

contractors.

IT Department

Is responsible for ensuring that policies pay due regard to the Safeguarding and Prevent Duty and that

suitable filtering and firewalls are in place to prevent apprentices, students, staff and visitors accessing

extremist or illegal websites and material.

Prevent Lead

The Prevent Lead has the responsibility for ensuring that the Prevent Risk assessment and action plan is

maintained and takes account of local and seasonal issues and updates from the regional prevent

coordinators. These documents will be visible to staff through OurKaplan (Kaplan's staff intranet). They will

ensure that any subsequent actions are implemented by the necessary staff.

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Senior Safeguarding Officer (SSO)

The SSO is responsible for the implementation of the Safeguarding and Prevent Policy and Kaplan's

commitments to safeguarding staff and students, through delegation where appropriate. They will be

responsible for ensuring that the safeguarding processes and procedures are robust and consistently

applied and that Kaplan fulfils its legal duties. The role is held by the Business Improvement Director.

Designated Safeguarding Officer (DSO)

The DSO will deputise for the SSO in their absence. The role is held by the Apprenticeship Quality Manager.

Policy and process review

Maintaining an up-to-date knowledge of current Safeguarding and Prevent practice and issues

Ensuring sufficient staff training and CPD to uphold an effective level of Safeguarding provision

Ensuring that records are maintained of all concerns raised

Creating and maintaining a network of relevant support and referral agencies

Periodically reporting on the concerns raised in relation to Safeguarding

Deputy Designated Safeguarding Officers (DDSO)

The Deputy Designated Safeguarding Officer will deputise for the DSO in their absence and support as

required with the implementation of the Policy, staff training and other responsibilities. The role is held by

specific Quality Leads.

Safeguarding Representatives

Safeguarding Representatives can be contacted for informal advice and guidance with general queries or

specific concerns. A full list of the Safeguarding Representatives will be maintained on the intranet and

these roles will be held by a selection of Team Managers and other nominated staff from within Kaplan. It

is not intended that Safeguarding Representatives deal with high level concerns but they can be consulted

where there is uncertainty about whether it is a safeguarding matter or where initial guidance is required

on the potential best course of action. A Safeguarding Representative will escalate any concern if

necessary. As part of their responsibilities they will:

• Monitor the dedicated Kaplan Safeguarding email inbox

• Provide a first response to any incidents, disclosures or concerns

Participate in the review of processes and procedures

Provide updates and training to their delivery teams

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All apprentice and student facing staff

Will be responsible for completing all directed training and familiarising themselves with Kaplan's

Safeguarding and Prevent Policy and procedures. They are also responsible for ensuring they understand

their own role in the promotion of safeguarding and the appropriate action to be taken should they receive

a disclosure or have concerns about an apprentice or student.

5. Underpinning Policies

The Safeguarding & Prevent policy is underpinned by the below policies:

Background Checks

• Code of Business Conduct

Dignity at Work

Equality, Diversity & Inclusion

Health & Safety

IT Acceptable Use

Referrals to Disclosure and Barring Service

Referrals to Disclosure Scotland

Recruitment and Selection

Student Code of Conduct

Whistleblowing

6. Safer Recruitment and Induction

Kaplan will comply with best practice in the recruitment and training of its staff, in line with legislative

requirements. Staff will undergo the appropriate pre-employment checks including Disclosure and Barring

Service (DBS) and Protecting Vulnerable Groups scheme (PVG) where required and applicable for their role.

Full reference checks will be completed and they will undertake mandatory safeguarding training

appropriate to their role. All required staff, identified by their role, will receive an appropriate induction,

including safeguarding and prevent training.

All staff will be required to read a condensed version of Keeping Children Safe in Education - Part One. This

will be included in their Kaplan induction activities:

KCSIE (Annex A) Essential Information for all Staff

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7. Identifying Indicators of Harm

Staff will receive appropriate training to be able to recognise the signs of harm and to respond effectively

where an individual makes a disclosure to them. Staff should also be aware of the measures to take if there

is a suspicion that a member of staff is involved in the harm of another individual.

Whilst it is recognised that no list of signs can be exhaustive, some potential indicators are listed below.

There may be a combination of these or they may be identified in isolation.

• Bruises and injuries with which the explanation given seems inconsistent

• Possible indicators of neglect, such as inadequate clothing, poor growth, hunger, poor hygiene

Possible indicators of emotional harm, such as excessive dependence, attention seeking, self-

narm

• Possible indicators of sexual harm, such as signs of bruises, scratches, bite mark, or behavioural

signs such as precocity, withdrawal or inappropriate sexual behaviour

Agitated or anxious behaviour

Excessive nervousness

Inappropriate or improper clothing

Unhygienic or unkempt appearance

Signs of discomfort or pain

• Frequent and increased absences

Uncharacteristic changes in appearance, behaviour or their course performance

Reluctant to go home or leave delivery locations

8. Categories of Potential Safeguarding Concerns

Action may be needed to protect our learners from many types of different safeguarding concerns. A

number of these are shown below although this is not an exhaustive list. There could be additional issues

that pose a risk to our learners.

Mental Health

Mental health includes emotional, psychological, and social well-being. It affects how a person thinks, feels,

and acts. It affects how they handle stress, relate to others, and make choices. Mental health issues such as

depression and anxiety may leave the individual vulnerable to self-harm and suicidal thoughts as well as

other safeguarding risks.

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Sexual violence, harassment and abuse

Sexual violence: the general term used to describe any kind of unwanted sexual act or activity, including

rape, sexual assault, sexual abuse, and many others.

Sexual harassment: unwanted behaviour of a sexual nature that makes someone feel distressed,

intimidated or humiliated. It can take lots of different forms. It can include or be called sexualised bullying.

Sexual abuse: forcing or enticing a child or young person to take part in sexual activities, not necessarily

involving a high level of violence, whether or not the child is aware of what is happening. Sexual abuse can

take place online, and technology can be used to facilitate offline abuse. Sexual abuse is not solely

perpetrated by adult males. Females can also be abusers as can other children. The sexual abuse of

children by other children is a specific safeguarding issue in education and all staff should be aware of it.

This is also known as **peer on peer** abuse or **child on child** abuse).

Bullying (including cyberbullying)

Behaviour by an individual or group, repeated over time, that intentionally hurts another individual or

group either physically or emotionally.

Radicalisation or extremism

Radicalisation: The process by which a person comes to support terrorism and extremist ideologies

associated with terrorist groups

Extremism: This is vocal or active opposition to fundamental British values, including:

Democracy

• The rule of law

Individual liberty

Mutual respect for and tolerance of those with different faiths and beliefs and for those

without faith

Also included in the definition of extremism are calls for the death of members of our armed forces,

whether in this country or overseas.

Antisemitism

Antisemitism is a certain perception of Jews, which may be expressed as hatred toward Jews. Rhetorical

and physical manifestations of antisemitism are directed toward Jewish or non-Jewish individuals and/or

their property, toward Jewish community institutions and religious facilities.

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Racism

Racism is the belief that groups of humans possess different behavioural traits corresponding to physical

appearance and can be divided based on the superiority of one race over another. It may also mean

prejudice, discrimination, or antagonism directed against other people because they are of a different

ethnicity.

Domestic Violence

Any incident or pattern of incidents of controlling, coercive, threatening behaviour, violence or abuse

between those aged 16 or over who are, or have been, intimate partners or family members regardless of

gender or sexuality. The abuse may involve, but is not limited to psychological, physical, sexual, financial

and emotional impacts.

Drugs

The misuse of drugs such as alcohol, tobacco, illegal drugs, medicines, new psychoactive substances (legal

highs) and volatile substances. This can also include where illegal drugs are transported from one area to

another, often across police and local authority boundaries (although not exclusively), usually by children

or vulnerable people who are coerced into it by gangs, referred to as 'County Lines'. The 'County Line' is

the mobile phone line used to take the orders of drugs.

Fabricated or Induced Illness

The fabrication of signs and symptoms in others including that of past medical history, falsification of

hospital charts and records and specimens of bodily fluids. May also include falsification of letters and

documents, and induction of illness by a variety of means.

Faith Abuse

Forms of child abuse linked to faith or belief. Examples of this include spirit possession, demons/the devil

acting through children, the evil eye or djinns (known in some Islamic faith contexts) and dakini (in Hindu

context) and ritual or multiple murders where the killing of children is believed to bring supernatural

benefits or the use of their body parts is believed to produce 'magical' remedies.

Upskirting

Upskirting is a term used to describe the act of taking a sexually intrusive photograph up someone's skirt or

other clothing without their permission. It is usually performed in a public place, such as on public

transport or in a nightclub, among crowds of people, making it harder to spot people taking the photos.

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The practice can cause humiliation, distress or alarm. Offenders face up to 2 years in jail, with the most

serious being put on the sex offenders register.

Stalking

Stalking can be defined as persistent and unwanted attention that makes someone feel pestered and

harassed. It includes behaviour that happens two or more times, directed at or towards another person,

which causes them to feel alarmed or distressed or to fear that violence might be used against you. Social

media and the internet are often used for stalking and harassment, and 'cyber-stalking' or online threats

can be just as intimidating. The effect of such behaviour is to curtail a victim's freedom, leaving them

feeling that they constantly have to be careful, causing significant alarm, harassment or distress to the

victim.

Forced Marriage

A forced marriage is a marriage in which one or both spouses do not (or in the case of some adults with

learning or physical disabilities, cannot) consent to the marriage and duress is involved.

Gangs (and Youth Violence)

Crime and violence are a core part of the identity of gangs, although delinquent peer groups can also lead

to increased behaviour and youth offending. Although some group gatherings can lead to increased

antisocial behaviour and youth offending, these activities should not be confused with serious violence in a

gang, which can also include knife crime.

Female Genital Mutilation

FGM comprises all procedures involving the partial or total removal of the female external genitalia or

other injury to the female genital organs for non-medical reasons. FGM is illegal in the UK and it is also

illegal to remove a child to another country for the purposes of performing FGM.

Teenage Relationship Abuse

May include emotional abuse (e.g. name calling, insults, isolation from friends, controlling what you wear

and where you go, constant checking up), physical abuse (hitting, punching, pushing, biting, kicking, using

weapons), sexual abuse (forcing sex, unwanted kissing or touching, being made to watch pornography

against will, pressure to not use contraception) or financial abuse (taking/controlling money, coercion to

buy the abuser things, forcing the abused to work or not work. See also the section on sexual violence and

harassment.

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Sexting

The exchange of self-generated sexually explicit images, through mobile picture messages or webcams over

the internet.

Child Sexual Exploitation

This occurs in situations and relationships in which young people receive something as a result of engaging

in sexual activities. See also the section on sexual violence and harassment.

Trafficking

The recruitment, transportation, transfer, harbouring or receipt of persons, by means of threat or use of

force or other forms of coercion, of abduction, of fraud, of deception, of the abuse of power or of position

of vulnerability or of the giving or receiving of payments or benefits to achieve consent of a person having

control over another person, for the purpose of exploitation.

9. The Prevent Duty

The threat to the UK from international terrorism is substantial. The terrorist threats that we now face are

more diverse than ever before, dispersed across a wider geographical area and often in countries without

effective governance. We therefore face an unpredictable situation. Whilst it remains rare for learners to

become involved in extremist activity, any learner can be exposed to extremist influences or prejudiced

views, including via the internet, from an early age. Early intervention is a preferable way of tackling

extremism.

Kaplan must have due regard to the need to prevent people from being drawn into terrorism. This is

referred to as 'The Prevent Duty'. As part of Kaplan's commitment to Safeguarding and the promotion of

British values, we will ensure that all staff have the confidence to recognise the signs that a learner or

colleague is at risk of radicalisation.

Kaplan recognises that its responsibility to the Prevent Duty is not limited to children, young people and

vulnerable adults, or to those learning through Government funded programmes but that all learners and

staff fall within the remit of this aspect of the Safeguarding & Prevent Policy.

10.Prevent Definitions

Radicalisation

The process by which a person comes to support terrorism and extremist ideologies associated with

terrorist groups.

Extremism

This is vocal or active opposition to fundamental British values, including:

Democracy

The rule of law

Individual liberty

Mutual respect for and tolerance of those with different faiths and beliefs and for those

without faith

Also included in the definition of extremism are calls for the death of members of our armed forces,

whether in this country or overseas.

11.Preventing Extremism

Kaplan will:

• Raise awareness to all apprentices and students of the threat from violent extremist groups and

the risks, and Kaplan's responsibility to minimise this in their organisation

• Help apprentices and students to understand the positive contribution they can make to empower

themselves to create communities that are more resilient to extremism, and protect the wellbeing

of particular learners or groups who may be vulnerable to being drawn into violent extremist

activity

Provide advice on managing risks and responding to incidents

• Promote fundamental British values at every opportunity throughout programmes and other

activities

• Challenge segregation, promoting cohesion and building learner resilience with the aim of our

apprentices, students and staff contributing actively to wider society

• Operate a clear and consistent anti-bullying approach that challenges harassment and

discrimination and enables apprentices, students and staff to feel safe and supported

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• Provide support, advice and guidance for apprentices, students and staff who may be at risk. This

may include referral to the Police and local authority as part of the Government's Prevent strategy

and the process by which multi agency support is provided to individuals who are at risk of being

drawn into terrorism

• Ensure that staff, apprentices, students and employers are aware of their roles and responsibilities

in preventing radicalisation and extremism

• Kaplan will carry out an annual risk assessment in relation to the Prevent Duty with the aim of

evaluating where and how learners or staff may be at risk of being drawn into terrorism. This will

include reviewing policies regarding the delivery of learning, student welfare, equality and

diversity, and the safety and welfare of customers and staff

• Kaplan will ensure that there is a shared understanding amongst staff, apprentices and students as

to the risks posed within the training environment by extremist's behaviour by raising awareness

through training and information sharing

• Take steps to mitigate the risk posed to individuals vulnerable to extremism where it is identified

Prevent referrals will be made to the local authority/police with consideration to the risk of

radicalisation or extremism. All such referrals will ultimately be made by the SSO, DSO or PL.

12. Identifying Indicators of Radicalisation

Potential signs of radicalisation include:

• An individual's views become increasingly extreme regarding another section of society or

government policy

• An individual is observed downloading, viewing or sharing extremist propaganda from the web

• An individual becoming withdrawn and focused on one ideology

An individual becoming increasingly intolerant of more moderate views

• An individual may change their appearance, their health may suffer (including mental health) and

they may become isolated from family, friends, peers or social groups.

An individual expresses a desire/intent to take part in or support extremist activity

13.External Speakers and Events

In order to comply with the Prevent Duty Kaplan will ensure that any external speaker's views being

expressed, or likely to be expressed, do not constitute extremist views that risk drawing people into

terrorism or are shared by terrorist groups.

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It is the responsibility of the host to ensure that any external speaker's content, planned to be delivered

either verbally, by presentation or other materials are reviewed prior to the event to check that it is

suitable and that it will not promote extremist views in any way.

An external speakers booking form should be completed by the host and the Prevent Lead will then make

the necessary checks prior to deciding whether the event can go ahead. Where a potential risk is identified

the Prevent Lead will seek further information from the host to ensure a balance of Kaplan's legal duties in

terms of both ensuring freedom of speech and also protecting apprentice, student and staff welfare.

Where the Prevent Lead is in any doubt that the risk cannot be fully mitigated Kaplan will exercise caution

and the event will not be allowed to proceed.

We fully recognise the importance of academic freedom and the right to preserve freedom of speech for all

our employees, learners and visiting speakers however we will balance this with our obligations towards

the Prevent Duty.

14. Guidelines to follow when receiving a disclosure

In order to ensure any disclosure is dealt with effectively, the 5 Rs should be considered.

Recognise

The ability to recognise behaviour that may indicate abuse is of fundamental importance. Signs and

symptoms of abuse of young people or vulnerable adults may include direct disclosure. All relevant staff

will be trained to understand signs of possible abuse and know how, where and to whom to report

concerns.

Respond

An appropriate response is vital. No report or concern about possible abuse should ever be ignored and

they should ensure:

• They do not lead or probe with questions

Remain calm and demonstrate interest and concern while investigating

• Tell the learner that you may need to disclose information to others to ensure any risk to them or

others is minimised and do not agree to any off the record disclosures

• Reassure them that they have done the correct thing in reporting their concerns and that it will be

dealt with in a sensitive and appropriate way, ensuring any information is suitably restricted

Record any disclosures on the <u>Safeguarding Report Form</u>

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Report

All Safeguarding and Prevent concerns should be reported at the very first opportunity and within 2 hours

where any delay increases the risk. Once concerns have been reported, responsibility for taking any further

action resides with the SR, DDSO and DSO dealing with the report.

Record

DSO and DDSOs will be trained to ensure disclosures, incidents or concerns and the subsequent

investigation and assessment are accurately recorded, updated and monitored. The Information will be

stored securely, confidentially and only made accessible to those who need access to support with the

concern.

Refer

The decision to refer lies with the DSO or DDSO dealing with the disclosure, having gathered and examined

all relevant information. Under normal circumstances investigations will not be completed by SRs or any

other staff. Investigations may involve questioning colleagues, learners, carers, parents, delivery staff and

the complainant, as relevant and necessary. DSO and DDSO will have access to organisations and websites

in order to seek guidance and help for learners and a directory of relevant support agencies is contained

within this document. The DSO or DDSO dealing with the disclosure will decide what action to take,

involving the SSO where necessary.

15. Safeguarding and Prevent Procedure

In the event of an emergency, including a threat to life, always call the emergency services on 999

Receiving, reporting and responding to Safeguarding and Prevent incidents by staff will follow the same

procedure.

Any suspicion, allegation or actual abuse of an apprentice or student by a member of Kaplan staff or its

subcontractors must be reported immediately and directly to either the SSO or DSO. In their absence it

should be reported to another member of the Professional Senior Leadership Team, who will then lead

with the investigation and subsequent actions supported by a DDSO.

Where Kaplan or one of its subcontractors refer a Safeguarding concern related to sexual violence to Local

Authority children's social care/adult social care and/or the police, or an allegation of abuse is made

against any member of staff to the SSO or DSO, Kaplan will also inform the Education and Skills Funding

Agency as soon as practicable, providing a high level summary of the nature of the incident. Where the

concern relates to a member of Kaplan staff, Human Resources will be included in the subsequent

investigation.

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Responding to a disclosure, concern or incident

Follow the 5 Rs, Recognise, Respond, Report, Record and Refer procedure

Keep questions to a minimum and explain what you need to do next

• Explain that you will need to pass the information on in order to reduce any risk to them or others

• Report the concerns using the <u>form</u> and dedicated inbox at the very **first opportunity and within 2**

hours where any delay would increase the risk so that the required review and assessment can

take place, including the referral to an external agency if deemed necessary.

• All details and actions taken will be updated and recorded by the relevant SR and DDSO/DSO on

the report from and monitored through the Safeguarding Log

Confidentiality

Although an individual may insist information is kept confidential, concerns still need to be reported. The

safety of the apprentice, student, staff or other person will always take precedence to any confidentiality

concerns. All information received will always be dealt with sensitively and only shared further where there

is a need to prevent and protect anyone from harm.

Once the DSO or DDSO has been made aware of a situation, they need to ascertain whether the apprentice

or student is at risk of actual or potential harm or abuse or whether there are sufficient grounds to suspect

abuse or potential radicalisation. Following this, the DSO or DDSO may decide that further action is

necessary and will refer it on to an external agency or that the situation can be monitored within Kaplan.

In the event of a decision being made to escalate a Prevent concern externally, this should only be carried

out by the SSO or DSO. In their absence, a DDSO will make a member of the Professional Senior Leadership

Team aware of the concern and complete any agreed referral.

A Safeguarding log will be maintained to record the summary information from all concerns raised. This will

be supplemented through a Safeguarding at Risk Register that will support the ongoing monitoring of any

apprentices or students who have been identified as being at a heightened risk as a result of any

Safeguarding concerns.

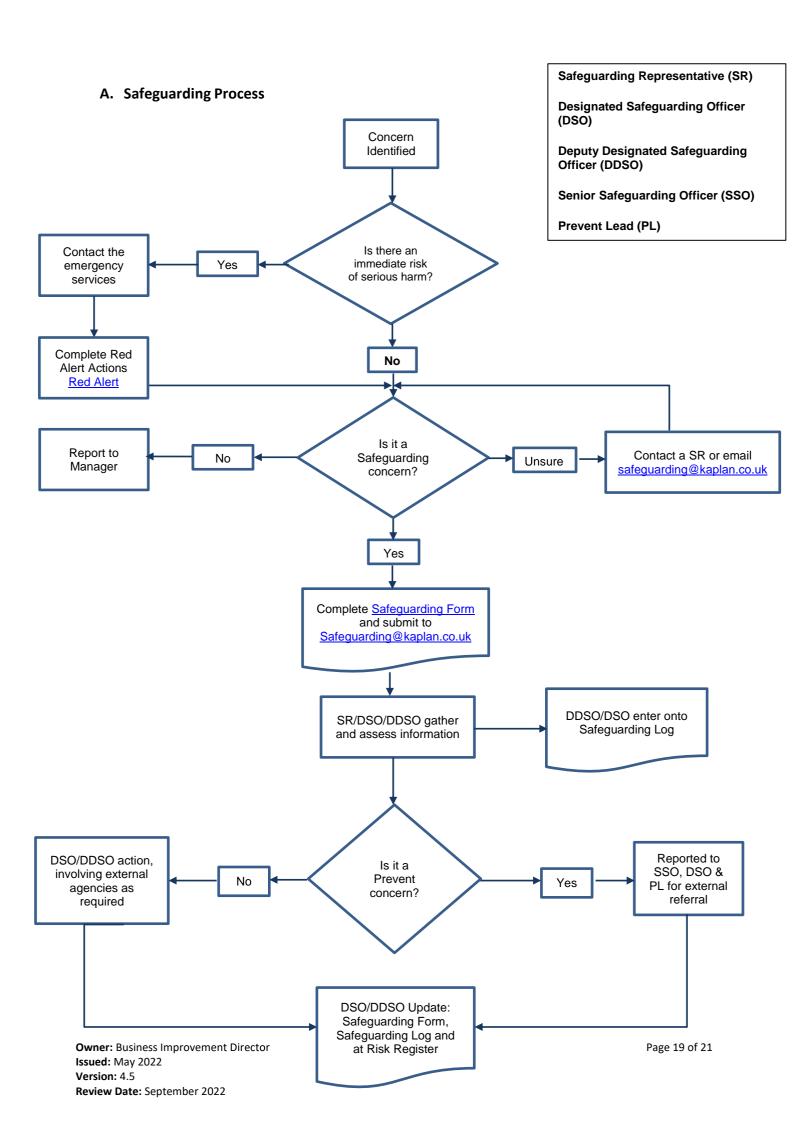
16.Policy Review

All Safeguarding and Prevent concerns will be fully investigated and appropriate actions taken. Kaplan will

review this policy annually as a minimum in-line with any regulatory requirements.

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B. Safeguarding Training Requirements

Role	Requirement	Timeline
Senior Safeguarding Officer	L3 Designated Safeguarding Officer	Prior to taking on role DSO
Designated Safeguarding Officer (DSO)	L3 Designated Safeguarding Officer	Prior to taking on role DSO
Deputy Designated Safeguarding Officer (DDSO)	L3 Designated Safeguarding Officer	Prior to taking on role of DDSO
Prevent Lead (PL)	Safeguarding and Prevent training modules	Prior to taking on role of PL
Safeguarding Representatives (SR)	Safeguarding and Prevent training modules SR internal training session	Prior to taking on role of SR
Apprenticeship Team Delivery Staff	Safeguarding and Prevent training modules	Within 4 weeks of appointment
Faculty Tutors	Safeguarding and Prevent training modules	Within 4 weeks of appointment
Kaplan Support Staff involved with the recruitment, induction or other student support activities	Safeguarding and Prevent training modules	Within 4 weeks of appointment

It is the responsibility of managers to ensure their staff have completed the relevant training requirement and that evidence of this can be provided.

In addition to the mandatory training requirement, all staff will receive periodic updates appropriate to their role.

Owner: Business Improvement Director

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C. External Support Organisations

Autism	and the state of the		
71010111	National Autistic Society	autism.org.uk	020 7833 2299
Bereavement C	Cruse Bereavement Care	cruse.org.uk	0808 808 1677*
Blind Support R	Royal National Institute of Blind People	rnib.org.uk	0303 123 9999
Bullying	Bullying UK (Family Lives)	bullying.co.uk	0808 800 2222
	Kidscape	kidscape.co.uk	08451 205 204
C	Carers Trust	carers.org.uk	0300 772 9600
Carers	oung Carers Trust	youngcarers.net	0844 800 4361
Child Exploitation C	Child Exploitation & Online Protection Command	ceop.police.uk	https://www.ceop.police.uk/ceop-reporting
Deaf Support B	British Deaf Association	bda.org.uk	020 7697 4140
Domestic Abuse R	Refuge	refuge.org.uk	0808 2000 247*
Drugs T	Talk To Frank	talktofrank.com	0300 123 6600
J	ob Centre Plus	gov.uk/jobsearch	0845 6060 234
Employment	ACAS	acas.org.uk	0300 123 1100
FGM E	Equality Now	equalitynow.org	07445 699 371
Financial Issues T	The Money Advice Service	moneyadviceservice.org	0800 138 7777*
Forced Marriage P	Plan International (UK)	plan-uk.org	0300 777 9777
Housing Issues S	Shelter	england-shelter.org.uk	0808 800 4444*
Internet Safety T	Think U Know?	thinkuknow.co.uk	0370 496 7622
Learning Difficulties R	Respond	respond.org.uk	020 7383 0700
Legal Issues C	Citizens Advice Bureau	citizensadvice.org.uk	0800 144 8848*
	Mind	mind.org.uk	0300 123 3393
Mental Health	Campaign Against Living Miserably	thecalmzone.net	0800 58 58 58*
G	Give us a Shout	giveusashout.org/	Text Shout to 85258
Parental Support F	Family Lives	familylives.org.uk	0808 800 2222*
Personal Safety T	The Suzy Lamplugh Trust	suzylamplugh.org	0808 802 0300*
Racism S	Stand Against Racism & Inequality	sariweb.org.uk	0117 942 0060
Radicalisation P	Prevent for Further Education & Training	preventfortraining.org.uk	020 3740 8280
Relationships R	Relate	relate.org.uk	0300 0030 396
Self-Harm S	Self-Harm UK	selfharm.co.uk	info@selfharm.co.uk
Convert Albury	The Survivors Trust	thesurvivorstrust.org	0808 801 0818*
Sexual Abuse R	Rape Crisis	rapecrisis.org.uk	0808 802 9999*
Sexuality S	Stonewall	stonewall.org.uk	0800 050 2020*
Victim Support V	/ictim Support UK	victimsupport.org.uk	0808 168 9111*

^{(*} Free from landlines)